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Abstract. This paper presents an optimal constraint programming approach for the Open-Shop problem, which integrates recent constraint propagation and branching techniques with new upper bound heuristics for the Open-Shop problem. Randomized restart policies combined with nogood recording allow to search diversification and learning from restarts. This approach closed all remaining problems of the Brucker et al. and Guéret and Prins benchmarks with cpu times that are orders of magnitude lower than the best known metaheuristics.

Keywords. Production-scheduling, open-shop, computers-computer science, artificial intelligence, constraint programming, randomization and restart.

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1. Introduction

Open-Shop problems are at the core of many scheduling problems involving unary resources such as Job-Shop or Flow-Shop problems, which have received an important amount of attention due to their wide range of applications. Among the many techniques proposed in the literature, Constraint Programming (CP) belongs to the most successful ones. We propose in this paper a constraint programming approach for the Open-Shop problem based on the most recent methods developed for scheduling problems in CP. Our approach relies on the use of strong propagation mechanisms of the unary resource global constraint and temporal constraint network but also reasoning dedicated to the minimization of the makespan such as the forbidden intervals method. Our main contribution is to show that randomization and restart strategies combined with strong propagation and scheduling heuristics can lead to a very efficient approach for solving Open-Shop problems. The proposed solving technique outperforms the other approaches published so far on a wide range of benchmarks.

This paper is organized as follows. We first recall the main techniques for solving Open-Shop problem based both on complete algorithms and local search approaches in section 2. Secondly, section 3 gives an overview of the state-of-the-art methods used in Constraint Programming for Open-Shop problems. Section 4 describes our approach relying on randomization and restarting strategies with nogood recording and section 5 presents the experimental results we obtained. It investigates in more detail the effect of each component of the algorithm as well as its parameters.

2. Problem Definition and State of the Art

In the Open-Shop problem (OSP), a set $J$ of $n$ jobs, consisting each of $m$ tasks (or operations), must be processed on a set $M$ of $m$ machines. The processing times are given by a matrix $P : m \times n$, in which $p_{ij} \geq 0$ is the processing time of task $T_{ij} \in T$ of job $J_j$, to be done on machine $M_i$. The tasks of a job can be processed in any order, but only one at a time. Similarly, a machine can process only one task at a time. We consider the construction of non-preemptive schedules of minimal makespan $C_{\text{max}}$, which is NP-Hard for $m \geq 3$ (see Gonzalez and Sahni, 1976).

Let denote by $L_k^J = \sum_{i \in M} p_{ik}$ the load of job $k \in J$ and by $L_k^M = \sum_{j \in J} p_{kj}$ the load of a machine $k \in M$. The maximum load over every machine and every job $C_{\text{max}}^{LB}$ is a lower bound for the OSP.

$$C_{\text{max}}^{LB} = \max(\{L_k^J|k \in J\} \cup \{L_k^M|k \in M\})$$

We review the main exact approaches on Open-Shop problems and the most recent local search techniques giving the best-known results.

Only a few exact methods for the OSP have been published so far. The first one (Brucker et al., 1996) is based on the resolution of a one-machine problem with positive and negative time-lags. The second one (Brucker et al., 1997) consists in fixing precedences on the critical path of heuristic solutions computed at each node. Although that last method is efficient, some problems of Taillard (1993) benchmark from size $7 \times 7$ remained unsolved. Guéret et al. (2000) proposed an intelligent backtracking technique applied to the Brucker et al. branching scheme. When a contradiction is raised during search, instead of systematically backtracking...
to the previous decision (chronological backtracking), the algorithm analyses the reasons for the contradiction to avoid questioning decisions that are not related to the failure and backtracks to a more relevant choice point. This approach significantly reduces the number of backtracks but can be two times slower than the initial version in each node. More recently, Dorndorf et al. (2001) improved the Brucker et al. algorithm by using CP techniques. Instead of analyzing and improving the search strategies, they focused on constraint propagation techniques for reducing the search space. The algorithm was the first to solve many problem instances to optimality in a short amount of time. However, some problems of Taillard’s benchmark from size $15 \times 15$ remained unsolved as well as some of Brucker et al. (1997) instances from size $7 \times 7$.

At the same time, many metaheuristic algorithms have been developed in the last decade to solve the OSP. The most recent and successful metaheuristics are: Ant Colony Optimization (ACO – Blum, 2005), Particle Swarm Optimization (PSO – Sha and Hsu, 2008) and Genetic Algorithm (GA – Prins, 2000). The basic component of ACO is a probabilistic solution construction mechanism. Due to its constructive nature, ACO can be regarded as a tree search method. Based on this observation, Blum (2005) hybridizes the solution construction mechanism of ACO with Beam Search (BS). BS algorithms are incomplete derivatives of Branch-and-Bound algorithms. It is an approximate method where a partial assignment is only extended in a restricted number of ways (this limit is called the beam width). Beam-ACO improves on the results obtained by the current best standard ACO algorithms. PSO is a population-based optimization algorithm, where each particle is an individual solution, and the swarm is composed of many particles. Sha and Hsu (2008) modify the representation of particle position, particle movement, and particle velocity to better fit to the OSP. They obtain many new best-known solutions of the benchmark problems. Genetic Algorithms are a particular class of evolutionary algorithms that use techniques inspired by evolutionary biology such as mutation, selection, and crossover. Prins (2000) presents several specialized OSP genetic algorithms with two key-features: a population in which each individual has a distinct makespan, and a special procedure which reorders every new chromosome.

Finally, there are many heuristic methods that quickly provide good solutions to the OSP. Most of them are constructive heuristics and belong to three main families: priority dispatching rules, matching algorithms (see Guéret, 1997) and insertion and appending procedures combined with beam search (see Bräsel et al., 1993).

3. The Constraint Programming Model

In this section, we present our constraint programming model to tackle specifically Open-Shop problems. First, we briefly present basic CP notions, especially in the scheduling context before discussing propagation and branching techniques. At each step, we present state-of-the-art methods, explain our choice and our contribution.

Constraint programming techniques have been widely used to solve scheduling problems. A Constraint Satisfaction Problem (CSP) consists of a set $V$ of variables defined by a corresponding set of possible values (the domain $D$) and a set $C$ of constraints. A solution of the problem is an assignment of a value to each variable such that all constraints are simultaneously satisfied. Constraints are handled through a propagation mechanism which
allows the reduction of the domains of variables and the pruning of the search tree. The propagation mechanism coupled with a backtracking scheme allows the search space to be explored in a complete way. Scheduling is probably one of the most successful areas for CP thanks to specialized global constraints which allow modelling resource limitations such as the unary resource or cumulative global constraints (see Beldiceanu and Demassey, 2006).

Constraint Programming models in scheduling usually represent a non-preemptive task $T_{ij}$ by a triplet of non-negative integer variables $(s_{ij}, p_{ij}, e_{ij})$ denoting the start, duration and end of the task so that $s_{ij} + p_{ij} = e_{ij}$. In Open-Shop problems, the duration $p_{ij}$ is known in advance and is a constant. The head of a task, $est_{ij} = \inf(s_{ij})$, denotes the earliest possible starting date of the task whereas the tail $lct_{ij} = \sup(e_{ij})$ is the latest completion time. The Open-Shop problem states that a single task of a machine or job can be processed at any given time. These constraints are modeled by the mean of the well known unary resource global constraint. Finally, temporal constraints such as precedences between tasks are used in the decision process. We now give more details on these constraints and their implementations.

### 3.1. Unary Resource

A unary resource global constraint, also called Disjunctive, models a resource of unit capacity. A unary resource constraint holds if all the tasks of a collection that have a duration strictly greater than 0 do not overlap. One unary resource constraint is stated for each job and each machine. First, we present state-of-the-art propagation algorithms for the unary resource constraint. We also take advantage of the propagation to compute a dynamic lower bound of the makespan. Finally, in addition to these methods, a technique called forbidden intervals is used to improve pruning.

**Unary Resource Propagation** Let $T$ denote a set of tasks sharing an unary resource and $\Omega$ denote a subset of $T$. We consider the three following propagation rules:

**Not First/Not Last (NF/NL):** This rule determines if task $i$ cannot be scheduled after or before a set of tasks $\Omega$. In other words, it implies that $i$ cannot be last or first in the set $\Omega \cup \{i\}$. In that case, at least one task from the set must be scheduled after (resp. before) activity $i$ and the tail (resp. head) of $i$ can be updated accordingly.

**Detectable Precedence (DP):** A precedence $i \prec j$ (see section 3.2) is called detectable, if it can be discovered only by comparing the time bounds of its two tasks. Heads and tails of each task can then be updated more accurately by the knowledge of all the predecessors or successors.

**Edge Finding (EF):** This filtering technique determines that some task must be executed first or last in a set $\Omega \subseteq T$. It is the counterpart of the NF/NL rule.

Several propagation algorithms (Carlier and Pinson, 1994; Caseau and Laburthe, 1995; Baptiste and Le Pape, 1996; Vilím, 2004) exist for these rules and the best of them have a complexity of $O(n \log(n))$.

All the previous rules rely on the computation of the earliest completion time ($ECT_\Omega$) of
a set \( \Omega \subseteq T \) of tasks. By denoting \( \text{est}_\Omega = \min_{T_{ij} \in \Omega} \{ \text{est}_{ij} \} \), the earliest completion time is defined as follow:

\[
ECT_\Omega = \max \{ \text{est}_\Omega + \sum_{\Omega'} p_{ij}, \Omega' \subseteq \Omega \} \tag{1}
\]

We choose the implementation proposed by Vilím (2004) that relies on two efficient data structures: \( \Theta \)-tree and \( \Theta \)-\( \Lambda \)-tree. These structures are based on a balanced binary tree and allow a quick computation of \( ECT_\Omega \) for a given set of tasks \( \Omega \), especially at each addition or removal of a task in the set.

The filtering algorithms of a constraint reach a local fixpoint when they can no longer reduce domains of its variables. The order in which the filtering algorithms are applied affects the total runtime, although it does not influence the resulting local fixpoint. Vilím (2004) proposed a filtering algorithm where each rule reaches its fixpoint in a main propagation loop which is executed until no update is performed. We have a main propagation loop where each rule is executed only once. We order the rules to minimize the number of sorts required by the data structures and to perform all updates on heads before tails.

**Makespan Propagation** We also take advantage of the computation of \( ECT_\Omega \) to estimate a lower bound of the makespan \( ECT_{OSP} \). In fact, the earliest completion time of a machine \( M_i \) (resp. a job \( J_j \)) is given by the value of \( ECT_{M_i} \) (resp. \( ECT_{J_j} \)). Let \( \mathcal{R} = \{ J_{j} \}_{j \in [1,m]} \cup \{ M_{i} \}_{i \in [1,n]} \) denote the set of all unary resources, then the makespan is greater than the maximum of the earliest completion time among all resources and is given by the formula \( ECT_{OSP} = \max_{\Omega \in \mathcal{R}} \{ ECT_\Omega \} \).

**Forbidden Intervals** Forbidden intervals are a specialized filtering technique for OSP with minimal makespan. Forbidden intervals are intervals in which in an optimal solution, tasks can neither start nor end. Heads and tails can be strengthened based on this information during search. When the head of a task is in such an interval, it can be increased to the upper bound of the interval. This technique has been proposed by Guéret and Prins (1998) and the computation of forbidden intervals is based on the resolution of \( m + n \) Subset-Sum Problems (Kellerer et al., 2004). The Subset-Sum Problem has an \( O(d \times n) \) complexity where \( d \) is the capacity of the knapsack, i.e. the maximal makespan. The Subset-Sum problems are solved at the beginning of the search and heads and tails are updated in a constant time.

### 3.2. Temporal Constraints

This section deals with the problem of managing quantitative temporal networks without disjunctive constraints. The problem is known as the Simple Temporal Problem (STP). As we only deal with precedence constraint network, we specialized the procedure and data structures for incremental constraint posting and propagation algorithms.

**Simple Temporal Problem** A Simple Temporal Problem is defined in Dechter et al. (1991) and involves a set of temporal integer variables \( \{ X_1, \ldots, X_n \} \) and a set of constraints \( \{ a_{ij} \leq X_j - X_i \leq b_{ij} \} \), where \( b_{ij} \geq a_{ij} \geq 0 \). A solution of the STP is an assignment of the variables such that every temporal constraint is satisfied. A directed graph \( G = (V, E) \) is
associated with the problem. The set of nodes \( V \) represents the set of variables \( \{X_1, \ldots, X_n\} \) and the set of edges \( E \) represents the set of temporal constraint. A couple of edges, \((j, i)\) labeled with weight \(-a_{ij}\) and \((i, j)\) labeled with weight \(b_{ij}\) are associated with each temporal constraint \( \{a_{ij} \leq X_j - X_i \leq b_{ij}\} \). Dechter et al. (1991) proved that a Simple Temporal Problem is consistent if and only if \( G \) does not have negative cycles.

Cesta and Oddi (1996) proposed algorithms to manage temporal information that: (a) allow dynamic changes of the constraint set for both posting and retraction (b) exploit the temporal constraint network for incremental propagation and cycle detection. Dechter (2003) reviewed most properties and algorithms for the STP. Unfortunately, most of the properties and algorithms supposed that no unary resource is involved.

**Precedence Constraints Network** In an Open-Shop problem, let \( T_{ij} \prec T_{kl} \) denote a precedence constraint, i.e. a temporal constraint such that \( \{p_{ij} \leq s_{kl} - s_{ij} \leq +\infty\} \). Of course, precedences could be handled by simply adding the corresponding elementary constraints to the solver and by propagating them independently. But, we take advantage of previous work on STP to gain in efficiency and flexibility. First, we slightly modify \( G \), then we adapt posting and retraction and propose a new algorithm to perform propagation.

The set of nodes \( V \) now represents the set of tasks. Two fictitious tasks \( T_{\text{start}} \) and \( T_{\text{end}} \) referring to the starting and ending tasks of the schedule, are added to that set. An arc is added in \( E \) between two tasks \( T_{ij} \) and \( T_{kl} \) if \( T_{ij} \) precedes \( T_{kl} \) (\( T_{ij} \prec T_{kl} \)). Initially, the only arcs of \( E \) are the ones originating at node \( T_{\text{start}} \) or ending at node \( T_{\text{end}} \). For example, Figure 1 represents \( G \) for a \( 3 \times 3 \) OSP instance with a set of six precedence constraints where initial edges are dotted and precedence edges are plain. The makespan \( C_{\text{max}} \) of a schedule is the length of a longest path between \( T_{\text{start}} \) and \( T_{\text{end}} \), a critical path.

![Diagram of precedence constraint network](image)

**Figure 1:** Representation of the precedence constraint network \( G \) associated with a \( 3 \times 3 \) OSP instance and a set of six precedence constraints.

The precedence constraint network is consistent if and only if it does not have any cycle. So, \( G \) is a Directed Acyclic Graph (DAG). Furthermore, an implied precedence can be easily detected when it is implied by the bounds of the tasks but it is not necessarily the case for transitive precedences. Indeed, precedence constraints satisfy the triangular inequality \( T_{ij} \prec T_{pq} \wedge T_{pq} \prec T_{kl} \Rightarrow T_{ij} \prec T_{kl} \). So, if an arc \( (T_{ij}, T_{kl}) \) is transitive, i.e. \( T_{ij} \) and \( T_{kl} \) are connected by a path in \( E \setminus \{(T_{ij}, T_{kl})\} \), then the precedence \( T_{ij} \prec T_{kl} \) is already implied. A branching strategy over precedences should avoid branching on transitive or satisfied precedences.

The incremental algorithm based on the Bellman-Ford algorithms for the Single Source Shortest Path Problem proposed by Cesta and Oddi (1996) has a \( O(|V| \times |E|) \) complexity.
Since $G$ is a directed acyclic graph, our incremental algorithm, based on the Dynamic Bellman algorithm for the Single Source Longest Path problem (Gondran and Minoux, 1984), has a linear complexity.

**Network Representation** We choose to design a specific and centralized data structure to represent the precedence constraint network. Typically, cycles and transitive precedences can be detected much faster with a centralized data structure dealing with the network of precedences. Furthermore, propagation of a set of precedences can be done in linear time whereas a bad ordering of awakes in the propagation loop can lead in the worst case to quadratic time before reaching the fixpoint.

The structure can efficiently handle arc insertions/removals and is restorable upon backtracking, i.e., it maintains a stack to record when a change is performed on the graph. Cycle and transitive arc detections have a constant time complexity as we maintain the transitive closure of $G$. Frigioni et al. (2001) proposed an implementation for maintaining the transitive closure information in a directed graph. Their approach requires $O(n)$ amortized time for a sequence of insertions and deletions. In addition, we also maintain a topological order with the simple and efficient algorithm proposed by Pearce and Kelly (2006). In fact, the transitive closure information reduces the overall complexity to maintain a topological order. To give an example, suppose that the precedence $T_{12} \prec T_{22}$ has just been added in Figure 1. Then, a choice point would be created since this precedence is not transitive and did not introduce a cycle.

Then, the transitive closure of the vertices $T_{11}$ and $T_{12}$ is updated. The transitive closure of $T_{12}$ is updated from $\{T_{end}\}$ to $\{T_{22}, T_{32}, T_{33}, T_{end}\}$. Similarly, the transitive closure of $T_{11}$ is updated to $\{T_{12}, T_{22}, T_{32}, T_{33}, T_{end}\}$. Assume a topological order $O_1$, the updated topological order after addition of $T_{12} \prec T_{22}$ is indicated below as $O_2$.

$$(T_{21}, T_{22}, T_{31}, T_{32}, T_{33}, T_{13}, T_{23}, T_{11}, T_{12})$$

$$(T_{21}, T_{31}, T_{11}, T_{12}, T_{22}, T_{32}, T_{33}, T_{13}, T_{23})$$

Branching strategies exploit the data structure to avoid branching on transitive precedence or create cycle in the network. Furthermore, the data structure is used to speedup propagation. Indeed, to update the head and tail of $T_{ij}$ according to $G$, the longest path between $T_{start}$ and $T_{ij}$, as well as $T_{ij}$ and $T_{end}$ is computed. All shortest paths originating from $T_{start}$ and ending at $T_{end}$ are computed in a linear time with the Dynamic Bellman algorithm for the Single Source Longest Path problem (Gondran and Minoux, 1984). As a topological order is an input of the algorithm, our implementation avoids redundant computations by maintaining a dynamic topological order. Last, at each propagation, the algorithm considers only a subgraph of $G$ where the head or the tail of the tasks has changed since the last call.

To summarize, the precedence constraints network is represented by a *dynamic and backtrackable directed acyclic graph* in which arc insertions and deletions can be done in linear time. The propagation is done in linear time by an algorithm based on the Dynamic Bellman algorithm for the Single Source Longest Path problem.
3.3. Symmetry Breaking

Many constraint satisfaction problems contain symmetries making many solutions equivalent. Symmetry breaking techniques avoid redundant search effort by trying to ensure that whenever a partial assignment is shown to be inconsistent, no symmetric assignment is ever tried.

In our case, a solution of the OSP can be reversed considering the last task of a machine as the first, the second to last task as the second and so on. This symmetric counterpart of any solution is also a solution for the OSP. Once the algorithm has proved that one ordering of the tasks was suboptimal, it is unnecessary to check the reverse ordering. Breaking this symmetry can be done in two manners by picking: (a) any task $T_{ij}$ and impose, a priori, that it starts in the left part of the schedule $s_{ij} \leq \lceil T_{end} - p_{ij} \rceil$; (b) any pair of tasks, $T_{ij}$ and $T_{kl}$, belonging to the same job or same machine, and impose, a priori, that $T_{ij}$ ends before $T_{kl}$ starts, i.e. $T_{ij} \prec T_{kl}$. We will evaluate these alternatives and denote by START the constraint (a) where we select the task with the longest processing time, and by PREC the constraint (b) where we select the pair of tasks with the longest cumulated processing times.

3.4. Branching Scheme

Branching strategies in scheduling can be divided in two main families: assigning starting dates or fixing precedences.

In the first category, the most well known is referred to as setTimes (Le Pape et al., 1994) and is an incomplete branching scheme. At each node, it selects a task from a set of unscheduled and selectable tasks, creates a choice point and schedules the selected task at its earliest starting time. Upon backtracking, it labels the task that was scheduled at the considered choice point as not selectable as long as its earliest start has not changed. This branching scheme is generic but it does not allow the efficient solution of large problems.

The second category consists in fixing precedences between tasks. In OSP contexts, the block branching of Brucker et al. (1997) (denoted as Block) is based on the computation of a heuristic solution in each node to decide the precedences to enforce. The tasks along the critical path of this heuristic solution are selected and precedences are stated to question the current critical path. This branching scheme can fix many precedences at the same time while remaining complete. Beck et al. (1997) proposed a simpler binary branching scheme (denoted as Profile) where two critical tasks sharing the same unary resource are ordered. This heuristic, based on the probabilistic profile of the tasks, determines the most constrained resources and tasks. At each node, the resource and the time point with the maximum contention are identified, then a pair of tasks that rely most on this resource at this time point are selected (it is also ensured that the two tasks are not already connected by a path of temporal constraints). Once the pair of tasks has been chosen, the order of the precedence has to be decided. For that purpose, we retain one of the three randomized value-ordering heuristics of Beck et al. (1997): centroid. The centroid is a real deterministic function of the domain and is computed for the two critical tasks. The centroid of a task is the point that divides its probabilistic profile equally. We commit the sequence which preserves the ordering of the centroids of the two tasks. If the centroids are at the same position, a random ordering is chosen.
An example of each branching is given in Figure 2. The shape of the tree and the types of the node are different from one branching scheme to another.

![Diagram of search tree for different branching schemes](image)

Figure 2: The shape of the search tree for different branching schemes. From left to right, SetTimes branching, Block branching and Profile branching.

### 4. Solving the Open-Shop

Our approach is based on the propagation techniques of the *unary resource* global constraint enhanced with forbidden intervals. The branching is conducted by adding precedences using the Profile heuristics with randomized centroid. At this stage this approach presents two main drawbacks. Firstly, propagation techniques are very effective once a tight upper bound is known and only slow down search otherwise. Secondly, the slightly randomized version of centroid shows a large variance in resolution time and the quality of the solutions found. Such a distribution suggests an important thrashing phenomenon, i.e. the same failure can be rediscovered several times. To address this issue, we propose to apply first a randomized constructive heuristic (without propagation) to initialize the upper bound and start a complete search with the CP model and a restarting strategy. The restarting strategy is also enhanced with nogood recording at each restart to record all the work done from one restart to another.

The first step surprisingly finds excellent upper bounds that are furthermore improved during the first restarts and finally reduces the thrashing significantly for longer runs. We now give a detailed presentation of the overall approach and analyze the different parameters.

#### 4.1. Main Procedure

Figure 3 summarizes a general algorithm for solving the OSP: Randomized and Restarts Constraint Programming algorithm for Open-Shop Problem (rrcp-osp). The possible values of the relevant parameters at each step are indicated.

The algorithm starts with heuristics (blocks 1-2) and continues, if needed, with a CP search (blocks 3 to 11). We investigated two possible heuristics: *Longest Processing Time* and randomized heuristics called crosh presented in details in section 4.2. The heuristics compute an initial solution and provide the initial upper bound $C_{UB}^{max}$. Then an optimality test is performed (block-2) before going any further and starting the search.
In block 3 of the figure, the CP model is created and various components are initialized. A symmetry breaking constraint is added (block 4) and three possibilities have been analyzed: no symmetry breaking (OFF), restricting the starting date of the longest task (START) or fixing the precedence between the two tasks of the same job or machine with the longest processing times (PREC). Section 3.3 discussed these choices in details.

The generic loop of the algorithm is contained between blocks 5 and 11. After propagation and domain reduction (block 5), we might have reached a solution, a contradiction or neither of those two cases. If a solution is found, it is recorded and the new upper bound of the makespan is used to add a constraint as a \textit{dynamic cut} that will be propagated upon
backtracking. If a failure is detected and all branches of the root node have been fathomed, then optimality of the last solution found is proved and the algorithm terminates. Otherwise, the algorithm backtracks. If the dynamic cut flag is set, then a propagation step is needed to take the new cut into account. Otherwise a branching step is needed but before that, we examine the possibility to restart. Four different options for restarting have been analyzed in our study as shown on block 9 and discussed in more detail in section 4.3. In case of restarts we can extract nogoods (block 10) to avoid redundant work from one restart to the next and keep track of the subproblems already proved suboptimal or infeasible.

If no restart is performed, then a search is undertaken using the Profile branching scheme (see section 3.4) in block 11. Branching divides the main problem into a set of exclusive and exhaustive subproblems by temporarily adding a precedence.

4.2. Initial Solution

Propagation techniques are very costly and only useful when applied with a good upper bound. Similarly, the branching technique is really sensitive to the quality of the upper bound as it relies on the demand curve of the resources. It is in practice very important to provide a good upper bound at the root node in a small amount of time.

Priority Dispatching Rule (PDR) methods are classical and easy methods to construct a nondelay schedule by repeatedly appending tasks to a partial schedule. A schedule is called non-delay if no machine is left idle provided that is is possible to process some job. Starting with an empty schedule, tasks are appended as follows: (a) determine the minimal head $t_0$ of all unscheduled operations (at time $t_0$, there exists both a free machine and an available job) (b) among all available tasks, choose one according to some priority dispatching rule. Common priority dispatching rules are Longest Processing Time (LPT) and Shortest Processing time (SPT). We do not consider SPT as Guéret (1997) experimentally proved that LPT is the best classical heuristic. We prefer the PDR methods, which, beside from being generic, simple and easy to implement, yield very good results experimentally (see section 5.1.1).

We based our Constructive Randomized Open-Shop Heuristics (CROSH) on this process, randomizing the selection of task at step (b) instead of following a dispatching rule. Algorithm 1 gives the details of such a heuristics.

It starts with the upper bound given by LPT ($C_{\text{max}}^{\text{LPT}}$) and attempts to improve it. As each internal function has a constant time complexity, the overall complexity is given by the three imbricated loops. 1, 2 and 3. The main loop 1 is executed at most $L_{\text{iteration}}$ times and the internal loop 2 and 3 are executed at most $|U_{t_0}| \leq |T| = m \times n$ times. The overall complexity of CROSH is $O(m^2 \times n^2 \times L_{\text{iteration}})$. Finally, if we choose the task of $U_{t_0}$ with the longest processing time instead of randomly (see line LPT), we obtain the LPT heuristics.

4.3. Restart Strategy

Restart policies are based on the following observation: the longer a backtracking search algorithm runs without finding a solution, the more likely it is that the algorithm is exploring a barren part of the search space. Initial choices made by the branching are both the least informed and the most important as they lead to the largest subtrees and the search can
hardly recover from early mistakes. This can lead to thrashing situations where failures are due to a small subset of early choices but discovered much deeper in the tree over and over again. An intelligent backtracking algorithm tries to compensate for the early mistakes of the heuristics by analyzing failures and identifying the choices responsible for the current dead end situation. Restart strategies combined with randomization are another way to get rid of thrashing and bad initial choices.

As our technique is randomized, preliminary experiments reveal its great sensitivity to thrashing. Several runs could lead to very different results regarding the number of backtracks and solution quality. It led us to investigate restart strategies and especially universal restart strategies.

**Algorithm 1**: Constructive Randomized Open-Shop Heuristics (CROSH)

**Data**: \( T, J, M, C_{LB_{\text{max}}}, C_{\text{LPT}_{\text{max}}}, L_{\text{time}}, L_{\text{iteration}} \)

**Result**: An upper bound on \( C_{\text{max}} \)

\[ UB_{C_{\text{max}}} = C_{\text{LPT}_{\text{max}}} ; \]

1. while \( \text{checkLimits}(L_{\text{time}},L_{\text{iteration}}) \) do
   
   /* no limit reached */
   
   Integer\[ CT_J = \text{Integer}[n] ; // Job Completion Time \]
   
   Integer\[ CT_M = \text{Integer}[m] ; // Machine Completion Time \]
   
   \( C_{\text{max}} = 0 ; // current makespan \)
   
   \( U = T ; // set of unscheduled tasks \)
   
   2. while \( U \neq \emptyset \) do
      
      \( U_{t_0} = \emptyset ; // set of selectable tasks \)
      
      \( t_0 = \infty ; // minimal head of unscheduled tasks \)
      
      3. foreach \( T_{ij} \in U \) do
         
         \( \text{est}_{ij} = \max(CT_J[i],CT_M[j]) ; // head of } T_{ij} \)
         
         if \( \text{est}_{ij} < t_0 \) then
            
            \( t_0 = \text{est}_{ij} ; \)
            
            \( U_{t_0} = \{ T_{ij} \} ; \)
         
         else if \( \text{est}_{ij} == t_0 \) then
            
            \( U_{t_0} = U_{t_0} \cup \{ T_{ij} \} ; \)
         
         LPT \( T_{i_0j_0} = \text{selectRandomly}(U_{t_0}) ; \)
         
         /* schedule the selected task */
         
         \( \text{ect} = t_0 + p_{i_0j_0} ; \)
         
         \( U = U \setminus \{ T_{i_0j_0} \} ; \)
         
         \( CT_J[i_0] = \text{ect} ; \quad CT_M[j_0] = \text{ect} ; \)
         
         \( C_{\text{max}} = \max(C_{\text{max}},\text{ect}) ; \)
         
         if \( C_{\text{max}} \geq UB_{C_{\text{max}}} \) then break
      
      if \( C_{\text{max}} < UB_{C_{\text{max}}} \) then
         
         \( UB_{C_{\text{max}}} = C_{\text{max}} ; \)
      
      return \( UB_{C_{\text{max}}} ; \)

**Universal Restart Strategy.** Let \( A(x) \) be a randomized algorithm of the *Las Vegas* type, which means that, on any input \( x \), the output of \( A \) is always correct but its running time \( T_A(x) \) is a random variable. A *universal restart strategy* determines the length of any run for all distributions on running time.

If the only feasible observation is the *length* of a run and there is *no* knowledge of the run-time distribution of the solver on the given instance, Luby et al. (1993) showed that the
universal schedule of cutoff values of the form

\[ 1, 1, 2, 1, 1, 2, 4, 1, 1, 2, 1, 2, 4, 8, \ldots \]

gives an expected time to solution that is within a log factor of that given by the best fixed cutoff, and that no universal schedule is better by more than a constant factor. The sequence is often defined by adding a geometric factor \( r \). By denoting \( s_k = \frac{r^{k-1}}{r-1} \), the \( i \)-th term of the sequence is defined as follows (\( r = 2 \) is the previous example):

\[
\forall i > 0 \quad t_i = \begin{cases} 
 r^{k-1} & \text{if } i = s_k \\
 t_{i-s_k-1} & \text{if } s_k-1 + 1 \leq i < s_k 
\end{cases}
\]

\( s = 1 \) and \( r = 3 \) ⇒ \( 1, 1, 1, 3, 1, 1, 3, 1, 1, 3, 1, 1, 3, 9, \ldots \)

Walsh (1999) suggests another universal strategy of the form \( s, sr, sr^2, sr^3, \ldots \) growing exponentially, contrary to the Luby strategy which grows linearly. The two parameters that we consider are a scale factor \( s \) and a geometric factor \( r \). The scale factor scales, or multiplies, each cutoff in a restart strategy. Wu and van Beek (2007) demonstrated both analytically and empirically the pitfalls of non-universal strategies and showed that parametrization of the strategies improves performance while retaining any optimality and worst-case guarantees.

As restarting seems a key component of those problems, we will evaluate the effects of the scale and geometric factors to identify a good restart strategy.

**Nogood Recording from Restarts** Our heuristics is only randomized when ordering two tasks to state a precedence and even in this case, the randomization only takes place when Centroid is unable to identify a good order. This slight randomization of the search is enough, as mentioned previously, to observe a huge variance in solution quality. However, in some cases, very few random choices are made and the same search tree is likely to be explored from one restart to another. We apply a simple nogood recording technique similar to Lecoutre et al. (2007) to compensate for this drawback.

In our context, a nogood is defined with a current upper bound \( ub \) and corresponds to a set of precedences \( P \), such that all solutions satisfying \( P \) have a makespan greater than \( ub \). The same set \( P \) of precedences can be met from one restart to another. Recording \( P \) can avoid redundant work and provide more diversification across the restarts. We record nogoods only when the search is about to restart (block 10 of Figure 3). At this point we record all the nogoods representing the subtrees proven suboptimal following the idea of Lecoutre et al.. All the work accomplished during this step is therefore recorded and the same part of the search tree will therefore not be explored in different runs. Only a linear number of nogoods is recorded at each restart.

Nogoods are propagated individually in Lecoutre et al. using watch literals techniques. We implemented the nogood store as a global constraint that achieves unit propagation on the nogoods. Our implementation remains naive and could be improved based on watch literals techniques. The number of nogoods remain quite small in practice as they are only recorded at each restart and nogood propagation didn’t seem to be a bottleneck for efficiency in our approach. We also remove nogoods that are subsumed by another one when adding all the nogoods coming from a new restart.
5. Computational Results

Three different sets of OSP benchmark instances are available in the literature. The first set consists of 60 problem instances provided by Taillard (1993) (denoted by tai*) ranging from 16 operations (4 jobs and 4 machines) to 400 operations (20 jobs and 20 machines). Brucker et al. (1997) proposed also 52 difficult square OSP instances (denoted by j*) from 3 jobs and 3 machines to 8 jobs and 8 machines. Finally, the last set is made of 80 benchmark instances provided by Gu´eret and Prins (1999) (denoted by GP*). The size of these instances ranges from 3 jobs and 3 machines to 10 jobs and 10 machines. All of the experiments were performed on a cluster with 84 machines running Linux, each node with 1 GB of RAM and a 2.2 GHz processor. We perform several set of experiments in order to: (a) study the impact of the parameters and the various options in the algorithm (see section 5.1); (b) compare RRCP-OSP with other state-of-the-art methods (see section 5.2).

5.1. Setting the Parameters of the Algorithm

We presented in section 4 various alternatives and parameters of our general algorithm RRCP-OSP. We report here an experimental study of their importance for the algorithm and justify experimentally the choices made in the final set up of the algorithm.

5.1.1. Initial Solution

Two possibilities of heuristics were given in section 4.2 to compute an initial upper bound: crosh and LPT. In this section, we compare crosh and LPT and explain how the time limit and the maximum number of iterations of crosh were chosen. First, we study the quality of the solution with regard to the number of iterations of crosh were chosen. First, we study the quality of the solution with regard to the number of iterations of crosh. crosh was run on each instance with a limit of 100000 iterations and a timeout of 30 seconds (20 runs were performed due to the randomization and the average is reported). Figure 4 shows the average solution quality for a given OSP size, i.e. the ratio of the best makespan $C^B_{max}$ with the lower bound $C^{LB}_{max}$, as a function of the number of iterations.

First of all, as the first iteration of crosh runs the LPT heuristics, the two graphs clearly prove that crosh is able to quickly improve the solution provided by LPT for any problem size. In fact, the random constructive process provides very good upper bounds and the ratio seems to reduce with the size of the problem. It can indeed find the optimal solution of some of the 15 × 15 and 20 × 20 problems. We also notice that crosh is able to improve the solution quality continuously after a very large number of iterations even if the slope of the curve is obviously decreasing. The balance between the time spent with the heuristics and the quality of the upper bound provided is difficult to choose. Ideally, we wish to stop the heuristic phase as soon as the CP search can improve the solution faster than crosh.

Therefore, we performed a second set of experiments in which we discretized the number of iterations into orders of magnitude 10, 100, 1000, 5000, 10000, 25000. The maximum number of iterations was set to 25000 because the timeout of 30s is reached after 25000 iterations for large instances (15 × 15, 20 × 20). Then, for each instance and each number of iterations, we ran twenty times RRCP-OSP with crosh and a time limit of 180 seconds. Table 1 presents the results of this second set of experiments with the percentage of solved
Figure 4: Solution quality ($\frac{C_{UB}^{M}}{C_{LB}^{M}}$) of the heuristics CROSH as a function of the number of iterations for each instance class.

instances, the average time $\bar{t}$ and number of visited nodes $\bar{n}$ for the best CROSH parameters and the average over all parameters. The number of iterations giving the best result is also indicated showing that, with the exception of size $9 \times 9$, a threshold related to the size of the problem can give a good generic setting for this limit. In fact, a single instance GP09-02 is

<table>
<thead>
<tr>
<th>size</th>
<th>Best</th>
<th>Average</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>iter.</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>%</td>
</tr>
<tr>
<td>$6 \times 6$</td>
<td>1000</td>
<td>100.0</td>
</tr>
<tr>
<td>$7 \times 7$</td>
<td>10000</td>
<td>93.0</td>
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<td>$8 \times 8$</td>
<td>10000</td>
<td>83.1</td>
</tr>
<tr>
<td>$9 \times 9$</td>
<td>100</td>
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</tr>
<tr>
<td>$10 \times 10$</td>
<td>25000</td>
<td>86.8</td>
</tr>
<tr>
<td>$15 \times 15$</td>
<td>25000</td>
<td>78.0</td>
</tr>
<tr>
<td>$20 \times 20$</td>
<td>10000</td>
<td>70.5</td>
</tr>
</tbody>
</table>

Table 1: The best number of iterations for CROSH to solve the problem using the complete algorithm.

responsible for the low number of iterations required for $9 \times 9$ instances. In this instance, our branching scheme is critically sensitive to the initial upper bound. If the lower bound is too tight, the centroid heuristics take bad deterministic decisions that will never be questioned along restarts. On the contrary, if the lower bound is loose, the slight randomization of centroid escapes from the local minima. Therefore, it advocates for a higher randomization of centroid. Nevertheless, we chose to ignore the singularity to set up the parameters.

Finally, the number of iterations has a great influence on the overall solving time, especially on large instances where CROSH gives surprisingly good results. We deduce from these results an estimated number of iterations and an estimated quality ratio which depend on the problem’s size. Table 2 reports the maximum number of iterations chosen for CROSH
depending on the problem size.

<table>
<thead>
<tr>
<th>size</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
<th>9</th>
<th>10</th>
<th>15</th>
<th>20</th>
</tr>
</thead>
<tbody>
<tr>
<td>Iteration limit</td>
<td>5</td>
<td>25</td>
<td>50</td>
<td>100</td>
<td>1000</td>
<td>10000</td>
<td>10000</td>
<td>25000</td>
<td>25000</td>
<td>25000</td>
</tr>
<tr>
<td>Average gap</td>
<td>1.138</td>
<td>1.134</td>
<td>1.130</td>
<td>1.164</td>
<td>1.084</td>
<td>1.107</td>
<td>1.138</td>
<td>1.057</td>
<td>1.001</td>
<td>1.001</td>
</tr>
</tbody>
</table>

Table 2: The limit in number of iterations chosen for CROSH as a function of the problem’s size.

5.1.2. Symmetry Breaking

Two possibilities were given in section 3.3 for the breaking symmetry constraint: START or PREC. The alternative is outlined in block 4 of algorithm 3. The effectiveness of the two approaches was tested on a small set of instances with a time limit of 180 seconds. Table 3 summarizes the results of these experiments. First of all, the initial cut reduces the amount of time and the number of nodes needed to solve the training set. Secondly, START seems to be a better choice than PREC and will be used as a default option for the algorithm.

<table>
<thead>
<tr>
<th>size</th>
<th>Problem</th>
<th>OFF</th>
<th>PREC</th>
<th>START</th>
</tr>
</thead>
<tbody>
<tr>
<td>GP* j* tai*</td>
<td>t</td>
<td>n</td>
<td>t</td>
<td>n</td>
</tr>
<tr>
<td>3 × 3</td>
<td>✓</td>
<td>✓</td>
<td>0.01</td>
<td>11.4</td>
</tr>
<tr>
<td>4 × 4</td>
<td>✓</td>
<td>✓</td>
<td>0.04</td>
<td>96.8</td>
</tr>
<tr>
<td>5 × 5</td>
<td>✓</td>
<td>✓</td>
<td>0.37</td>
<td>435.0</td>
</tr>
<tr>
<td>6 × 6</td>
<td>✓</td>
<td>✓</td>
<td>3.67</td>
<td>1861.5</td>
</tr>
<tr>
<td>7 × 7</td>
<td>✓</td>
<td>✓</td>
<td>3.77</td>
<td>2379.0</td>
</tr>
</tbody>
</table>

Table 3: Effect of the symmetry breaking constraint on a subset of instances.

5.1.3. Restart Strategy

In this section, we discuss how to configure restart strategies. The alternatives were outlined in blocks 9 and 10 of algorithm 3.

Restart Policy Parameters We performed experiments on a small set of instances to identify good parameters (scaling and geometric factors) for the restart policy. We report the effects of the parameters on the efficiency of the restart policy measured by the number of solved problems as proposed by Wu and van Beek (2007). We ignored small instances and used a set of 23 instances with different runtime distributions. The scale factor $s$ is discretized into orders of magnitude $10^{-2}, \ldots, 10^2$ and the geometric factor, $r$ into 2, 3, \ldots, 10 for Luby and 1.1, 1.2, \ldots, 2 for Walsh. Then we multiply the scale factor by the number of tasks $n \times m$ to take into account the size of the problem. The best parameters settings were then estimated by choosing the values that minimized the expected number of instances not solved. Ties were broken by considering the average amount of time needed to solve an instance.
Twenty runs were performed on each instance of the set with a time limit of 180 seconds and an initial upper bound given by LPT. Table 4 shows the results of the experiments for different restart policies with and without nogood recording. We give the percentage of solved instances, the average amount of time and number of nodes visited during search for the best parameter and the average over all parameter settings.

<table>
<thead>
<tr>
<th>Policy</th>
<th>Best</th>
<th>Average</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Param.</td>
<td>%</td>
</tr>
<tr>
<td></td>
<td>Without nogood recording</td>
<td></td>
</tr>
<tr>
<td>FIXED</td>
<td>10</td>
<td>71.3</td>
</tr>
<tr>
<td>WALSH</td>
<td>(1,1.5)</td>
<td>81.7</td>
</tr>
<tr>
<td>LUBY</td>
<td>(1,3)</td>
<td>82.6</td>
</tr>
<tr>
<td></td>
<td>With nogood recording</td>
<td></td>
</tr>
<tr>
<td>FIXED</td>
<td>1</td>
<td>80</td>
</tr>
<tr>
<td>WALSH</td>
<td>(1,1.1)</td>
<td>82.6</td>
</tr>
<tr>
<td>LUBY</td>
<td>(1,3)</td>
<td>82.6</td>
</tr>
</tbody>
</table>

Table 4: Identifying good parameters for the restart policies.

As expected, estimating good parameter settings can give quite reasonable performance improvements over unparametrized universal strategies. It can be seen that on this test set, the Luby and Walsh strategies outperform the fixed cutoff strategy and that nogood recording gives only small improvements over these two strategies.

**Restart Policy** The experiments performed in the previous paragraph do not prove that restarting is a good alternative. Similarly, it is unclear that we should use nogood recording combined with restarts. In this section, we performed additional experiments to set up alternatives for the restart strategy.

Using the best parameters given in Table 4 for Luby and Walsh, we can show the interest of restarting strategies as well as the effect of enhancing them with nogood recording on the two graphs of Figure 5.

The 61 instances larger than size \(5 \times 5\) and solved with an average time between 2 seconds and 1800 seconds were considered to plot those graphs. The initial upper bound was given by CROSH with its default parameters (see section 5.1.1). The left graph analyses the effect of the restarting strategies. Each point represents one instance and its \(x\) coordinate is the ratio of the resolution time without restarts over the resolution time with restarts whereas its \(y\) coordinate is the ratio of the number of nodes without restarts over the number of nodes with restarts. Notice also that the scale is logarithmic and that all points are around the diagonal since the number of nodes is roughly proportional to the time. All points located above or on the right of the point (1,1) are instances improved by the use of restarts. Restarting seems to globally improve the solution and some instances are even solved around 100 times faster using restarts. However, the solution of a minority of instances located below (1,1) is degraded. Similarly, the right graph shows the gain offered by nogood recording over the use of restart policy (the coordinates of each point present the ratio of time and nodes of the restarting strategy alone over the restarting strategy with nogood recording). One can see that nogood recording only improves the restarting policies by a factor between 1 and
10 for the large majority of instances. Luby seems to benefit more from nogood recording as it can contain a lot of short runs. Finally when combining restarting policy and nogood recording, we obtain the results plotted in graph 6. It can be seen that all the negative results of the restarting policy of Figure 5 have been eliminated while keeping the positive effects of the restarts.

We have shown here that restarting can greatly improve the solution of Open-Shop problems but lacks robustness. Restarting basically helps finding good upper bounds quickly but once those are known, longer runs are needed to eventually prove optimality. The balance between restarting quickly to improve the upper bound or searching more to prove its optimality is difficult to achieve. Enhancing the restarting policy with nogood recording compensates for this drawback and improves significantly the resolution as shown by graph 6.

**Three hardest Instances** Three instances, j7-per0-0, j8-per0-1 and j8-per10-2 remained unsolved after 1800 seconds. Therefore, additional experiments were performed without time
limit. Table 5 summarizes these results. First of all, the strategy without restarts is the best because we need to explore a huge number of nodes to get the optimality proof. Then, nogood recording is a critical issue for restart strategies on these instances as it cuts the runtime by two thirds. Finally, the performance of the restart strategies changed as the Walsh strategy performed better than the Luby strategy. It confirms the idea that the Luby strategy improves the upper bound faster, but obtains the optimality proof slower than the Walsh strategy.

<table>
<thead>
<tr>
<th>Problem</th>
<th>OPT</th>
<th>Nogood recording</th>
<th>Classic</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Off</td>
<td>LUBY</td>
</tr>
<tr>
<td></td>
<td></td>
<td>( \bar{t} )</td>
<td>( \bar{n} )</td>
</tr>
<tr>
<td>j7-per0-0</td>
<td>1048</td>
<td>1:43      1.21</td>
<td>2:10  1.57</td>
</tr>
<tr>
<td>j8-per0-1</td>
<td>1039</td>
<td>2:13      1.16</td>
<td>3:07  1.65</td>
</tr>
<tr>
<td>j8-per10-2</td>
<td>1002</td>
<td>1:03      0.56</td>
<td>1:17  0.68</td>
</tr>
</tbody>
</table>

Table 5: The processing time \( \bar{t} \) (hour:minute) and number of nodes \( \bar{n} \) (millions of nodes) for the given alternatives applied to the three hardest instances.

Robustness  Last, we analyze the robustness of \textsc{rrcp-osp} for the Luby restart policy with nogood recording and an initial upper bound given by \textsc{crosh}. In its general form, robustness refers to the ability of the subject to cope well with uncertainties. In our case, it means that we need to estimate the sensitivity to the initial upper bound and the randomized decision process. For each instance, we compute the ratio of the standard deviation divided by the average runtime. Then, we compute the average ratio for each benchmark. The Taillard benchmark has an average ratio of 62% as it is very sensitive to the initial upper bound which is often optimal. The Guéret and Prins benchmark has an average ratio of 16% because the initial upper bound could affect the randomization process as shown for instance GP09-02 (section 5.1.1). Finally, the Brucker et al. benchmark has the lowest average ratio equal to 9% because most of the time is spent during the optimality proof.

5.2. Comparison with Other Approaches

The algorithm applied on the complete benchmark uses \textsc{crosh} in a first step, states \textsc{START} as a symmetry breaking constraint and applies a Luby restarting policy with nogood recording. As the algorithm is randomized, 20 runs were performed without a time limit. Tables 6, 8 and 9 report optimal objective values found over all runs with the average time and number of nodes. Tables 6, 8 and 9 correspond respectively to the Taillard, Brucker et al. and Guéret and Prins benchmarks. The tables include the best results obtained by the genetic algorithm (GA-Prins – Prins, 2000), the ant-colony algorithm (Beam-ACO – Blum, 2005), the particle swarm algorithm (PSO-Sha – Sha and Hsu, 2008), the branch and bound with intelligent backtracking of (BB-Gue – Guéret et al., 2000) and the best complete approach so far (BB-Pes – Dorndorf et al., 2001). The papers cited above sometimes report more than one result based on variations of their approach and we have quoted the best of them in Tables 6, 8 and 9.
An Optimal Constraint Programming Approach to the Open-Shop Problem

<table>
<thead>
<tr>
<th>Problem</th>
<th>BKS</th>
<th>GA-Prins</th>
<th>BB-Pesch</th>
<th>Beam-ACO</th>
<th>PSO-Sha</th>
<th>RRCP-OSP</th>
</tr>
</thead>
<tbody>
<tr>
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<td>436</td>
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<td>455</td>
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</tr>
<tr>
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<td>458</td>
<td>458</td>
</tr>
<tr>
<td>tai_7_7_10</td>
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<td>398</td>
<td>398</td>
<td>5.2</td>
<td>398</td>
<td>398</td>
</tr>
</tbody>
</table>

Table 6: Results of the Taillard Benchmark.

The column BKS gives the best known solution for each instance. The value is in bold when the proof of optimality has been obtained for the first time by our approach and is marked with an asterisk when the solution was not known before. For each technique, the best (Best) or average (Avg) objective value is in bold when it is optimal. Furthermore, let t denote the solving time, \( \bar{t} \) the average solving time and \( \bar{n} \) the average number of nodes. We give the results obtained by mP-ASG2 + BS of Sha and Hsu. But, the best objective value is marked with a † if it is obtained instead with mP-ASG2.

All the experiments were performed on a cluster with 84 machines running Linux, each node with 1 GB of RAM and a 2.2 GHz processor. We have implemented a scheduling package based on the Choco constraint programming solver (Java) which provides variables, resources and branching objects. An additional OSP package provides heuristics, model creation, solver configuration and nogood recording.
BB-Gue stopped the search to 250,000 backtracks (about 3 hours of CPU time on a Pentium PC clocked at 133 MHz). BB-Pesch has been tested on a Pentium II 333 Mhz in an MSDOS environment within a time limit of 3 hours. Beam-ACO used PCs with AMD Athlon 1.1 Ghz CPU running under Linux and PSO-Sha used PCs with AMD Athlon 1.8 Ghz running under Windows XP. Beam-ACO and PSO-Sha were obtained by 20 runs on each problem whereas GA-Prins performed only one run, so we do not mention its solving time.

Results for the Taillard Instances (Table 6) The Taillard benchmark has a reputation of being easy because no optimality proof is needed (the optimal objective is equal to the lower bound) and it is solved easily by metaheuristics. On the contrary, the largest instances are still difficult for exact methods. BB-Pesch was the first exact method to solve all 10 × 10 instances and most of the 15 × 15 and 20 × 20.

RRCP-OSP has solved all instances which none of the current exact algorithms is capable of. Furthermore, RRCP-OSP is more robust than Beam-ACO which encountered failures on 4 instances. The results confirm also the reputation of the Taillard benchmark as the simple randomization mechanism of CROSH is really efficient. If the average number of nodes \( \bar{n} \) is nil, then CROSH is fully-optimal for the given instance, i.e. the twenty runs of CROSH found the optimum. CROSH is partially-optimal if at least one run found the optimum. CROSH is fully-optimal for eleven instances and partially-optimal for a large number of instances. More precisely, Table 7 gives the percentage of run where it found the optimum as a function of the size. As all metaheuristics use complex constructive mechanisms, it could partly explain why they are so successful on this benchmark. Last, Tai_20_20.02 and Tai_20_20.08 seem more difficult to solve for all methods especially exact methods.

To conclude, RRCP-OSP is the first exact method able to solve all instances of this benchmark and in most cases, it does so in less time than the best metaheuristics.

<table>
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<tr>
<th>size</th>
<th>7 × 7</th>
<th>10 × 10</th>
<th>15 × 15</th>
<th>20 × 20</th>
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<tr>
<td>% CROSH</td>
<td>20%</td>
<td>28%</td>
<td>69%</td>
<td>61%</td>
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</table>

Table 7: Percentage of runs where CROSH found the optimum.

Results for the Brucker et al. Instances (Table 8) As a result of the relatively low difficulty of the Taillard instances, the Brucker et al. instances were generated in order to be more difficult to solve. Indeed, one 7 × 7 instance and five 8 × 8 were still open and the optimal objective is equal to the lower bound for the three remaining 8 × 8 instances. Even if BB-Pesch is able to solve eight 7 × 7 instances, the growth of the runtime shows that 8 × 8 would not be solved in a reasonable time.

On this benchmark, RRCP-OSP solved all instances, gave four new optimality proofs and two new optimal solutions. The average solutions also show a clear advantage of RRCP-OSP over others algorithms. Furthermore, two-thirds of the instances were solved within one minute and only four in more than ten minutes. Although, CROSH is fully-optimal for only one instance and partially-optimal for two others, the combination of CROSH and restarts seem to be a good alternative to reach good solutions quickly. Indeed, other exact methods such
as BB-Pesch could end with a very weak upper bound (see j7-per0). As shown above (section 5.1.3), nogood recording keeps the optimality proof tractable even on the hardest instances (at most 3 hours 30 minutes).

<table>
<thead>
<tr>
<th>Problem</th>
<th>BKS</th>
<th>GA-Prins</th>
<th>BB-Pesch</th>
<th>Beam-ACO</th>
<th>PSO-Sha</th>
<th>RRCP-OSP</th>
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Table 8: Results of the Brucker et al. Benchmark.

Results for the Guéret and Prins Instances (Table 9) Also the Guéret and Prins instances were generated in order to be difficult to solve which seems to be the case as CROSH could not find any of the optimal solution. Despite that and as opposed to metaheuristics, RRCP-OSP solved the benchmark easier than the Brucker et al. benchmark. Indeed, RRCP-OSP solved all instances, gave twenty-three optimality proofs and nine new optimal solutions. Even, if BB-Pesch did not use the benchmark, these results are impressive. BB-Pesch did not provide results but the benchmark is more difficult for both Beam-ACO and PSO-Sha than Brucker et al. benchmark. Indeed, they found respectively only three and eleven optimal solutions for the 9×9 and 10×10 instances. In this case, the average solutions and processing times show a clear advantage of RRCP-OSP over other algorithms in spite of being an exact method. Last, the optimality proof seems easier for these instances than for the Brucker et al. benchmark as all instances were solved within an average runtime of 30 seconds.

Summary The experimental results proved the efficiency and robustness of RRCP-OSP, matches the results of the best metaheuristics on the Taillard benchmark and outperforms other exact and approached methods on the Guéret and Prins and the Brucker et al. benchmarks. Randomization and restarts increase the robustness of RRCP-OSP. Indeed, all runs
<table>
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<th>Problem</th>
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<th>GA-Prins</th>
<th>Beam-ACO</th>
<th>PSO-Sha</th>
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*Note: CROSH runs only 10 times (see section 4.2) instead of its default parameter defined in table 2.*

Table 9: Results of the Guéret and Prins Benchmark.

over a given instance gave the same objective value and only a small variations in time and number of nodes.
6. Conclusion

We have presented a Constraint Programming technique RRCP-OSP to solve the Open-Shop problem. This technique consists of a high-level declarative model (tasks, resources, precedences), a CP scheduler (Choco package) and a specialized OSP module. The OSP module computes an initial upper bound with a randomized constructive heuristic, builds the model and configures the scheduler to perform an efficient search. The scheduler offers most recent CP based scheduling features such as resource filtering algorithms, several precedence based branching schemes, a randomization and restart mechanism along with nogood recording.

The computational results for the Taillard, Guéret and Prins and the Brucker et al. benchmarks matched the best metaheuristics for Taillard benchmark and closed Guéret and Prins and Brucker et al. benchmarks. It solved all instances, found eleven new optimal solutions, gave twenty-seven new proofs and established RRCP-OSP as the state-of-the-art method to solve Open-Shop problem.

For further research, we will try to apply RRCP to other shop problems such as Flow-Shop Problems and Job-Shop Problems. In addition, further research topics include how to modify the randomization mechanism, proposing hybrid restart policies and improving the nogood propagation.

References


