

On the convergence of Boolean automata networks without negative cycles

Tarek Melliti and Damien Regnault
IBISC - Université d'Évry Val d'Essonne, France

Adrien Richard

I3S - Université de Nice-Sophia Antipolis, France

Sylvain Sené

LIF - Université d'Aix-Marseille, France

Gießen, September 19, 2013

Boolean networks

=

Finite and heterogeneous CAs on $\{0, 1\}$

Boolean networks

=

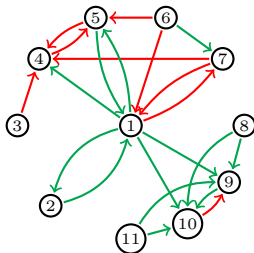
Finite and heterogeneous CAs on $\{0, 1\}$

Classical models for

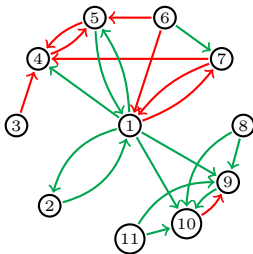
Neural networks [McCulloch & Pitts 1943]

Gene regulatory networks [Kauffman 1969, Tomas 1973]

Focus on **interaction graphs**



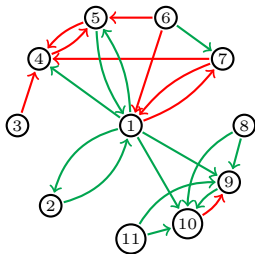
Focus on **interaction graphs**



Question

What can be said on the dynamics of a Boolean network according to its interaction graph ?

Focus on **interaction graphs**



[*Arabidopsis Thaliana*]

Question

What can be said on the dynamics of a Boolean network according to its interaction graph ?

Application to **gene networks**: reliable information on the interaction graph only.

Definitions

Setting

There are n **components** (cells) denoted from 1 to n

The set of possible **states** (configurations) is $\{0, 1\}^n$

The **local transition function** of component $i \in [n]$ is **any map**

$$f_i : \{0, 1\}^n \rightarrow \{0, 1\}$$

The resulting **global transition function** is

$$f : \{0, 1\}^n \rightarrow \{0, 1\}^n, \quad f(x) = (f_1(x), \dots, f_n(x))$$

Setting

There are n **components** (cells) denoted from 1 to n

The set of possible **states** (configurations) is $\{0, 1\}^n$

The **local transition function** of component $i \in [n]$ is **any map**

$$f_i : \{0, 1\}^n \rightarrow \{0, 1\}$$

The resulting **global transition function** is

$$f : \{0, 1\}^n \rightarrow \{0, 1\}^n, \quad f(x) = (f_1(x), \dots, f_n(x))$$

We consider the **fully-asynchronous** updating

↪ very usual in the context of **gene networks** [Thomas 73]

Given a map $v : \mathbb{N} \rightarrow [n]$, the **fully-asynchronous** dynamics is

$$x_{v(t)}^{t+1} = f_{v(t)}(x^t), \quad x_i^{t+1} = x_i^t \quad \forall i \neq v(t)$$

Given a map $v : \mathbb{N} \rightarrow [n]$, the **fully-asynchronous** dynamics is

$$x_{v(t)}^{t+1} = f_{v(t)}(x^t), \quad x_i^{t+1} = x_i^t \quad \forall i \neq v(t)$$

In practice, non information on $v...$ \rightarrow we regroup all the possible asynchronous dynamics under the form of a directed graph

Given a map $v : \mathbb{N} \rightarrow [n]$, the **fully-asynchronous** dynamics is

$$x_{v(t)}^{t+1} = f_{v(t)}(x^t), \quad x_i^{t+1} = x_i^t \quad \forall i \neq v(t)$$

In practice, non information on $v...$ \rightarrow we regroup all the possible asynchronous dynamics under the form of a directed graph

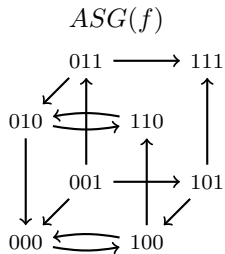
Definition

The **asynchronous state graph** of f , denoted by **ASG**(f), is the directed graph on $\{0, 1\}^n$ with the following set of arcs:

$$\{ x \rightarrow \bar{x}^i \mid x \in \{0, 1\}^n, i \in [n], x_i \neq f_i(x) \}$$

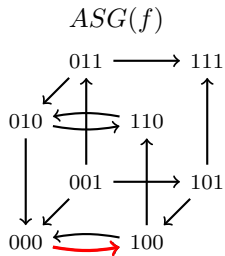
Example

x	$f(x)$
000	100
001	110
010	100
011	110
100	010
101	110
110	010
111	111



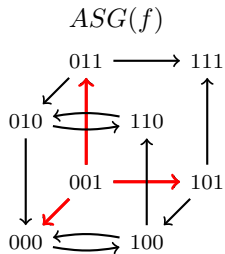
Example

x	$f(x)$
000	100
001	110
010	100
011	110
100	010
101	110
110	010
111	111



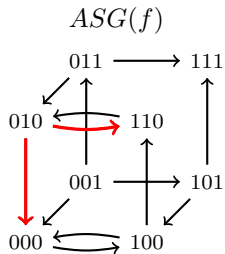
Example

x	$f(x)$
000	100
001	110
010	100
011	110
100	010
101	110
110	010
111	111



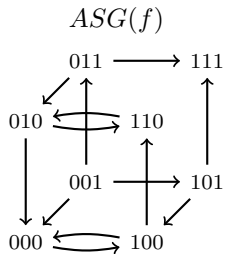
Example

x	$f(x)$
000	100
001	110
010	100
011	110
100	010
101	110
110	010
111	111



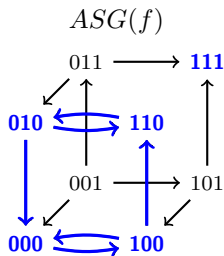
Example

x	$f(x)$
000	100
001	110
010	100
011	110
100	010
101	110
110	010
111	111



Example

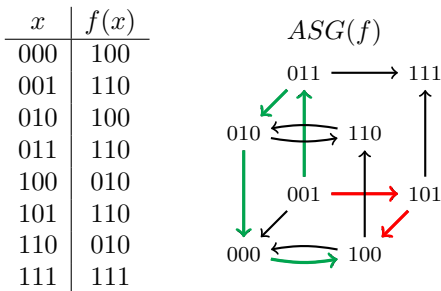
x	$f(x)$
000	100
001	110
010	100
011	110
100	010
101	110
110	010
111	111



The **attractors** of $ASG(f)$ are its **terminal strong components**

- Attractor of size one = **fixed point**
- Attractor of size at least two = **cyclic attractor**

Example



The **attractors** of $ASG(f)$ are its **terminal strong components**

- Attractor of size one = **fixed point**
- Attractor of size at least two = **cyclic attractor**

A path from a state x to a state y is a **direct path** if its length ℓ is equal to the Hamming distance between x and y (so $\ell \leq n$).

Definition

The **interaction graph** of f , denoted $G(f)$, is the signed directed graph on $\{1, \dots, n\}$ with the following arcs:

- There is a **positive arc** $j \rightarrow i$ iff there is a state x such that

$$f_i(x_1, \dots, x_{j-1}, \mathbf{0}, x_{j+1}, \dots, x_n) = \mathbf{0}$$

$$f_i(x_1, \dots, x_{j-1}, \mathbf{1}, x_{j+1}, \dots, x_n) = \mathbf{1}$$

- There is a **negative arc** $j \rightarrow i$ iff there is a state x such that

$$f_i(x_1, \dots, x_{j-1}, \mathbf{0}, x_{j+1}, \dots, x_n) = \mathbf{1}$$

$$f_i(x_1, \dots, x_{j-1}, \mathbf{1}, x_{j+1}, \dots, x_n) = \mathbf{0}$$

Definition

The **interaction graph** of f , denoted $G(f)$, is the signed directed graph on $\{1, \dots, n\}$ with the following arcs:

- There is a **positive arc** $j \rightarrow i$ iff there is a state x such that

$$f_i(x_1, \dots, x_{j-1}, \mathbf{0}, x_{j+1}, \dots, x_n) = \mathbf{0}$$

$$f_i(x_1, \dots, x_{j-1}, \mathbf{1}, x_{j+1}, \dots, x_n) = \mathbf{1}$$

- There is a **negative arc** $j \rightarrow i$ iff there is a state x such that

$$f_i(x_1, \dots, x_{j-1}, \mathbf{0}, x_{j+1}, \dots, x_n) = \mathbf{1}$$

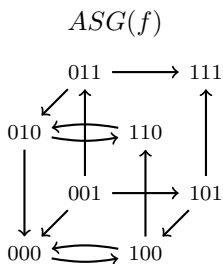
$$f_i(x_1, \dots, x_{j-1}, \mathbf{1}, x_{j+1}, \dots, x_n) = \mathbf{0}$$

$$j \rightarrow i \in G(f) \iff f_i(x) \text{ depends on } x_j$$

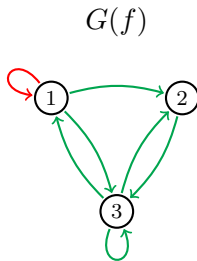
Example

x	$f(x)$
000	100
001	110
010	100
011	110
100	010
101	110
110	010
111	111

Asynchronous State Graph



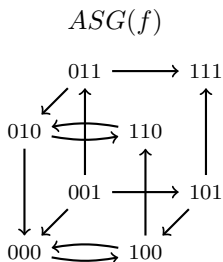
Interaction Graph



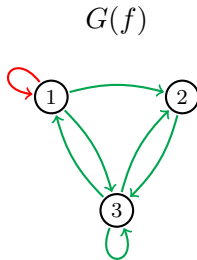
Example

x	$f(x)$
000	100
001	110
010	100
011	110
100	010
101	110
110	010
111	111

Asynchronous State Graph



Interaction Graph



Question

What can be said on $ASG(f)$ according to $G(f)$?

Results

Theorem [Robert 1980]

If $G(f)$ has **no cycles** then

1. f has a **unique fixed point**
2. $ASG(f)$ has no cycles

Theorem [Robert 1980]

If $G(f)$ has **no cycles** then

1. f has a **unique fixed point**
2. $ASG(f)$ has no cycles
3. $ASG(f)$ has a **direct path** from every state to the fixed point

Theorem [Robert 1980]

If $G(f)$ has **no cycles** then

1. f has a **unique fixed point**
2. $ASG(f)$ has no cycles
3. $ASG(f)$ has a **direct path** from every state to the fixed point

⇒ **complexity comes from cycles of the interaction graph**

Two kinds of cycles have to be considered:

- **Positive cycles**: **even** number of negative arcs
- **Negative cycles**: **odd** number of negative arcs

Theorem on positive cycles [Aracena 2004]

If all the positive cycles of $G(f)$ can be destroyed by removing k vertices, then $ASG(f)$ has at most 2^k attractors.

Theorem on positive cycles [Aracena 2004]

If all the positive cycles of $G(f)$ can be destroyed by removing k vertices, then $ASG(f)$ has at most 2^k attractors.

Corollary If $G(f)$ has **no positive cycles** then $ASG(f)$ has a unique attractor

Theorem on positive cycles [Aracena 2004]

If all the positive cycles of $G(f)$ can be destroyed by removing k vertices, then $ASG(f)$ has at most 2^k attractors.

Corollary If $G(f)$ has **no positive cycles** then $ASG(f)$ has a unique attractor

Theorem on negative cycles [Richard 2010]

If $G(f)$ has **no negative cycles** then $ASG(f)$ has a path from every state x to a fixed point

Theorem on positive cycles [Aracena 2004]

If all the positive cycles of $G(f)$ can be destroyed by removing k vertices, then $ASG(f)$ has at most 2^k attractors.

Corollary If $G(f)$ has **no positive cycles** then $ASG(f)$ has a unique attractor

Theorem on negative cycles [Richard 2010]

If $G(f)$ has **no negative cycles** then $ASG(f)$ has a path from every state x to a fixed point

Our contribution

If $G(f)$ has **no negative cycles** then $ASG(f)$ has a **direct path** from every state x to a fixed point

Sketch of proof

Theorem If $G(f)$ has no negative cycles then $ASG(f)$ has a direct path from any state x to a fixed point

Theorem If $G(f)$ has no negative cycles then $ASG(f)$ has a direct path from any state x to a fixed point

It is sufficient to prove the theorem in the case where $G(f)$ strongly connected (the general case follows by decomposition)
So we suppose that $G(f)$ is strong and has no negative cycles

Theorem If $G(f)$ has no negative cycles then $ASG(f)$ has a direct path from any state x to a fixed point

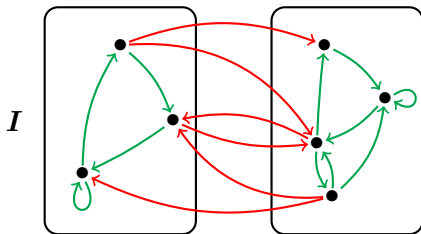
It is sufficient to prove the theorem in the case where $G(f)$ strongly connected (the general case follows by decomposition)
So we suppose that $G(f)$ is strong and has no negative cycles

It is well known [Harary 1953] that $G(f)$ has a set of vertices I such that an arc of $G(f)$ is negative iff this arc leaves I or enters in I

Theorem If $G(f)$ has no negative cycles then $ASG(f)$ has a direct path from any state x to a fixed point

It is sufficient to prove the theorem in the case where $G(f)$ strongly connected (the general case follows by decomposition)
So we suppose that $G(f)$ is strong and has no negative cycles

It is well known [Harary 1953] that $G(f)$ has a set of vertices I such that an arc of $G(f)$ is negative iff this arc leaves I or enters in I



Theorem If $G(f)$ has no negative cycles then $ASG(f)$ has a direct path from any state x to a fixed point

Let h be the network defined by $h(x) = \overline{f(\bar{x}^I)}^I$ for all $x \in \{0, 1\}^n$

Theorem If $G(f)$ has no negative cycles then $ASG(f)$ has a direct path from any state x to a fixed point

Let h be the network defined by $h(x) = \overline{f(\bar{x}^I)}^I$ for all $x \in \{0, 1\}^n$

$ASG(h)$ is isomorphic to $ASG(f)$ and the isomorphism is $x \mapsto \bar{x}^I$
The isomorphism preserves the Hamming distance

Theorem If $G(f)$ has no negative cycles then $ASG(f)$ has a direct path from any state x to a fixed point

Let h be the network defined by $h(x) = \overline{f(\bar{x}^I)}^I$ for all $x \in \{0, 1\}^n$

$ASG(h)$ is isomorphic to $ASG(f)$ and the isomorphism is $x \mapsto \bar{x}^I$

The isomorphism preserves the Hamming distance

In addition, $G(h)$ is obtained from $G(f)$ by changing the sign of every arc that leaves I or enters in I

Thus $G(h)$ has only positive arcs

Theorem If $G(f)$ has no negative cycles then $ASG(f)$ has a direct path from any state x to a fixed point

Let h be the network defined by $h(x) = \overline{f(\bar{x}^I)}^I$ for all $x \in \{0, 1\}^n$

$ASG(h)$ is isomorphic to $ASG(f)$ and the isomorphism is $x \mapsto \bar{x}^I$
The isomorphism preserves the Hamming distance

In addition, $G(h)$ is obtained from $G(f)$ by changing the sign of every arc that leaves I or enters in I
Thus $G(h)$ has only positive arcs

Conclusion: We can suppose that $G(f)$ has only positive arcs
This is equivalent to say that f is monotonous:

$$\forall x, y \in \{0, 1\}^n \quad x \leq y \Rightarrow f(x) \leq f(y)$$

Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

Lemma 1 $f(\mathbf{0}) = \mathbf{0}$ and $f(\mathbf{1}) = \mathbf{1}$

Suppose $f(\mathbf{0}) \neq \mathbf{0}$, that is, $f_i(\mathbf{0}) = 1$ for some i

Then since f is monotonous, $f_i(x) = 1$ for all $x \in \{0, 1\}^n$

Thus $f_i = cst$, so i has no in-neighbor in $G(f)$

Thus $G(f)$ is not strong, a contradiction

We prove similarly $f(\mathbf{1}) = \mathbf{1}$.

Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

Lemma 2 The set of states reachable from x , denoted by $R(x)$, has a unique maximal element, reachable from x by a direct path

Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

Lemma 2 The set of states reachable from x , denoted by $R(x)$, has a unique maximal element, reachable from x by a direct path

Let P be an increasing path from x of maximal length. Let y the last state of P , so that $f(y) \leq y$. We prove that $z \leq y, \forall z \in R(x)$

Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

Lemma 2 The set of states reachable from x , denoted by $R(x)$, has a unique maximal element, reachable from x by a direct path

Let P be an increasing path from x of maximal length. Let y the last state of P , so that $f(y) \leq y$. We prove that $z \leq y, \forall z \in R(x)$

If not there is a path $x \rightsquigarrow z \rightarrow \bar{z}^i$ with $z \leq y$ and $\bar{z}^i \not\leq y$.

Thus $\bar{z}_i^i = 1$ and $y_i = 0$, so $z \rightarrow \bar{z}^i$ increases component i .

Thus $f_i(z) = 1$ and since $z \leq y$ and f_i is monotonous, $f_i(y) = 1$.

Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

Lemma 2 The set of states reachable from x , denoted by $R(x)$, has a unique maximal element, reachable from x by a direct path

Let P be an increasing path from x of maximal length. Let y the last state of P , so that $f(y) \leq y$. We prove that $z \leq y, \forall z \in R(x)$

If not there is a path $x \rightsquigarrow z \rightarrow \bar{z}^i$ with $z \leq y$ and $\bar{z}^i \not\leq y$.

Thus $\bar{z}_i^i = 1$ and $y_i = 0$, so $z \rightarrow \bar{z}^i$ increases component i .

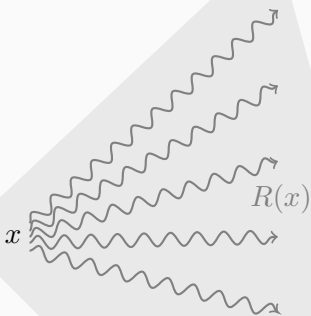
Thus $f_i(z) = 1$ and since $z \leq y$ and f_i is monotonous, $f_i(y) = 1$.

Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

We prove the theorem by induction on the number of ones in x .
If $x = \mathbf{0}$ the theorem is true since $f(\mathbf{0}) = \mathbf{0}$. Suppose that $x > \mathbf{0}$

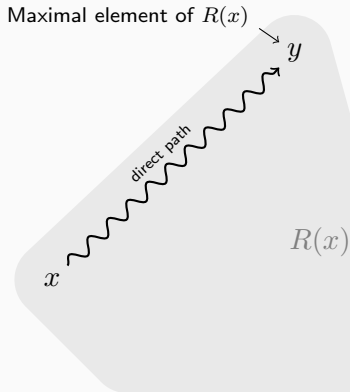
Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

We prove the theorem by induction on the number of ones in x .
If $x = \mathbf{0}$ the theorem is true since $f(\mathbf{0}) = \mathbf{0}$. Suppose that $x > \mathbf{0}$



Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

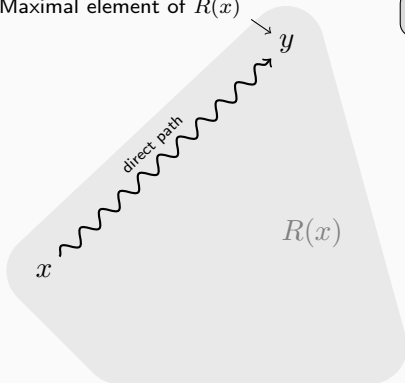
We prove the theorem by induction on the number of ones in x .
If $x = \mathbf{0}$ the theorem is true since $f(\mathbf{0}) = \mathbf{0}$. Suppose that $x > \mathbf{0}$



Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

We prove the theorem by induction on the number of ones in x .
If $x = \mathbf{0}$ the theorem is true since $f(\mathbf{0}) = \mathbf{0}$. Suppose that $x > \mathbf{0}$

Maximal element of $R(x)$

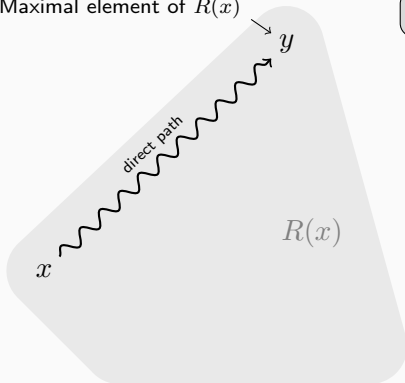


$$f(z) \leq f(y) \leq y, \forall z \in R(x)$$

Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

We prove the theorem by induction on the number of ones in x .
If $x = \mathbf{0}$ the theorem is true since $f(\mathbf{0}) = \mathbf{0}$. Suppose that $x > \mathbf{0}$

Maximal element of $R(x)$



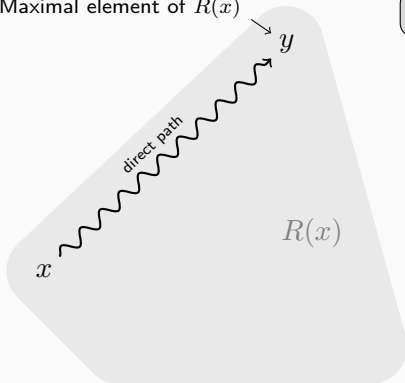
$$f(z) \leq f(y) \leq y, \forall z \in R(x)$$

If $f(y) = y$ nothing to prove

Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

We prove the theorem by induction on the number of ones in x .
If $x = \mathbf{0}$ the theorem is true since $f(\mathbf{0}) = \mathbf{0}$. Suppose that $x > \mathbf{0}$

Maximal element of $R(x)$



$$f(z) \leq f(y) \leq y, \forall z \in R(x)$$

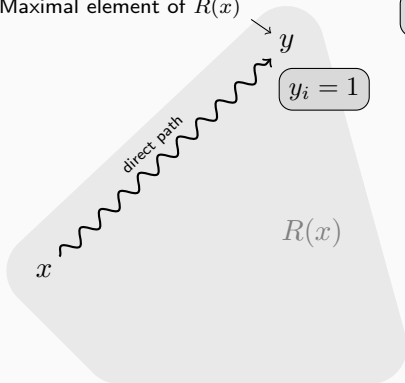
If $f(y) = y$ nothing to prove

Suppose $f_i(y) < y_i$ for some i

Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

We prove the theorem by induction on the number of ones in x .
If $x = \mathbf{0}$ the theorem is true since $f(\mathbf{0}) = \mathbf{0}$. Suppose that $x > \mathbf{0}$

Maximal element of $R(x)$



$$f(z) \leq f(y) \leq y, \forall z \in R(x)$$

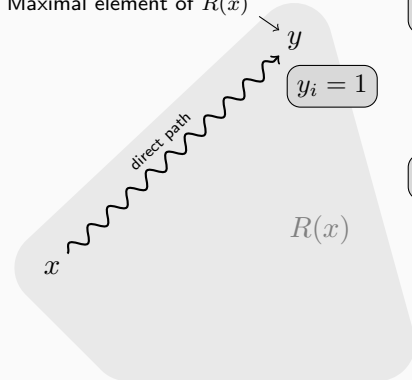
If $f(y) = y$ nothing to prove

Suppose $f_i(y) < y_i$ for some i

Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

We prove the theorem by induction on the number of ones in x .
If $x = \mathbf{0}$ the theorem is true since $f(\mathbf{0}) = \mathbf{0}$. Suppose that $x > \mathbf{0}$

Maximal element of $R(x)$



$$f(z) \leq f(y) \leq y, \forall z \in R(x)$$

If $f(y) = y$ nothing to prove

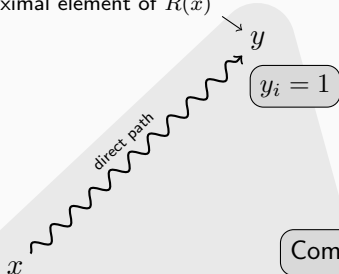
Suppose $f_i(y) < y_i$ for some i

Then $f_i(z) = 0$ for all $z \in R(x)$

Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

We prove the theorem by induction on the number of ones in x .
If $x = \mathbf{0}$ the theorem is true since $f(\mathbf{0}) = \mathbf{0}$. Suppose that $x > \mathbf{0}$

Maximal element of $R(x)$



$$f(z) \leq f(y) \leq y, \forall z \in R(x)$$

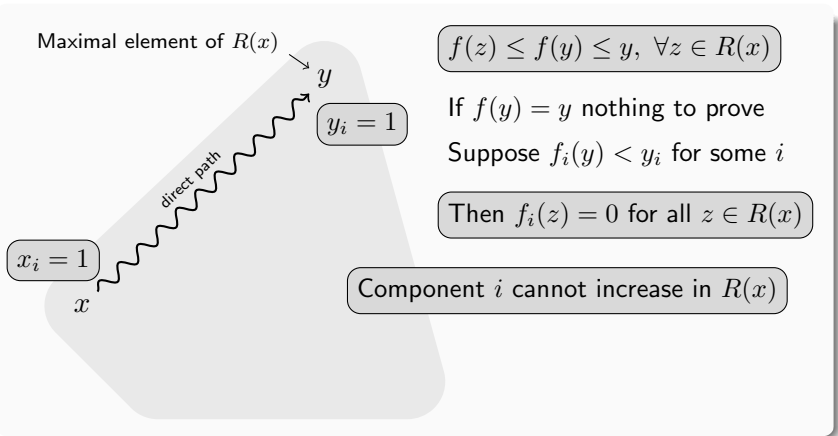
If $f(y) = y$ nothing to prove
Suppose $f_i(y) < y_i$ for some i

Then $f_i(z) = 0$ for all $z \in R(x)$

Component i cannot increase in $R(x)$

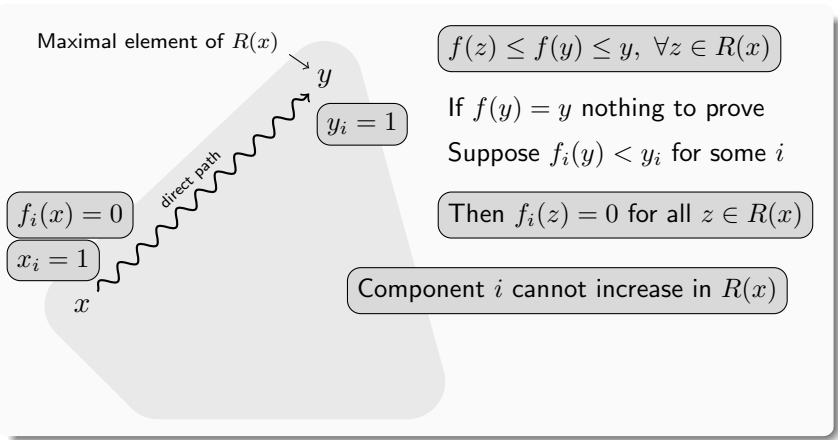
Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

We prove the theorem by induction on the number of ones in x .
 If $x = \mathbf{0}$ the theorem is true since $f(\mathbf{0}) = \mathbf{0}$. Suppose that $x > \mathbf{0}$



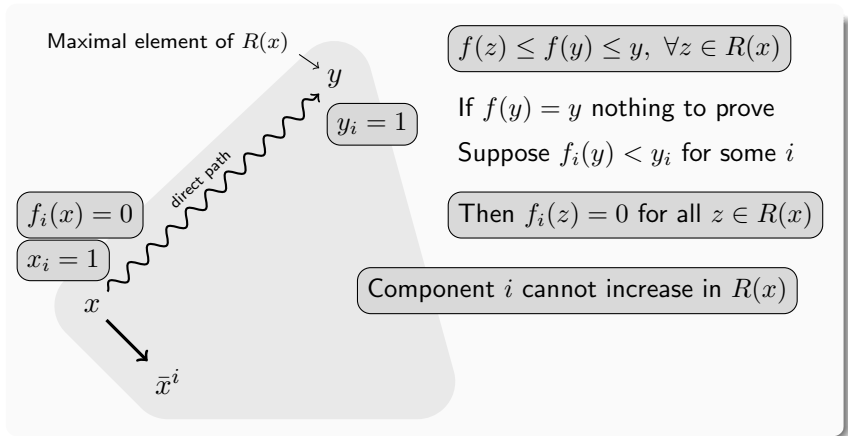
Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

We prove the theorem by induction on the number of ones in x .
 If $x = \mathbf{0}$ the theorem is true since $f(\mathbf{0}) = \mathbf{0}$. Suppose that $x > \mathbf{0}$



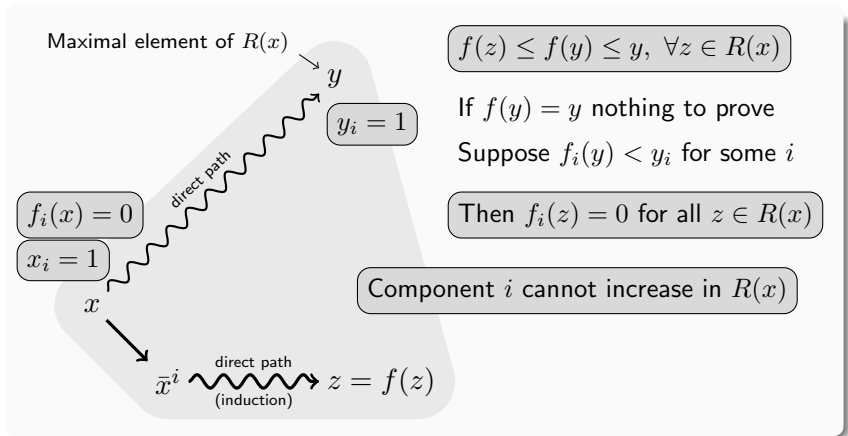
Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

We prove the theorem by induction on the number of ones in x .
 If $x = \mathbf{0}$ the theorem is true since $f(\mathbf{0}) = \mathbf{0}$. Suppose that $x > \mathbf{0}$



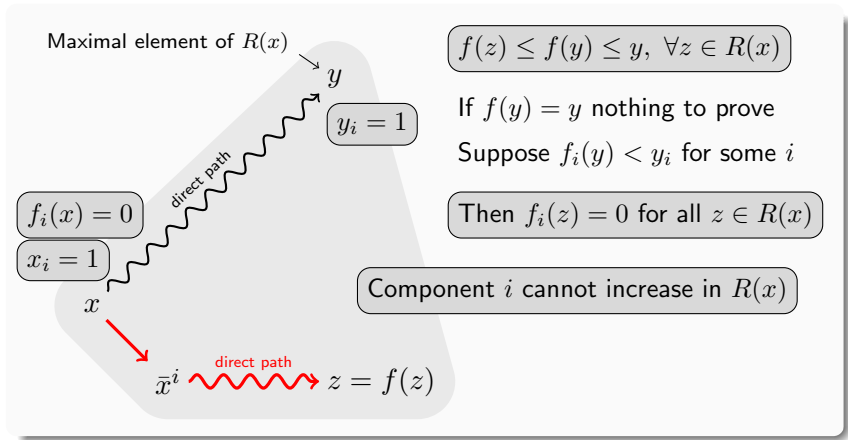
Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

We prove the theorem by induction on the number of ones in x .
 If $x = \mathbf{0}$ the theorem is true since $f(\mathbf{0}) = \mathbf{0}$. Suppose that $x > \mathbf{0}$



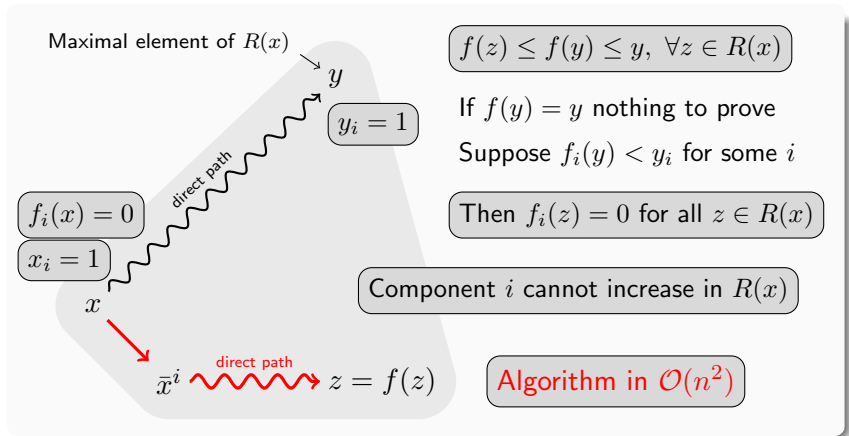
Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

We prove the theorem by induction on the number of ones in x .
 If $x = \mathbf{0}$ the theorem is true since $f(\mathbf{0}) = \mathbf{0}$. Suppose that $x > \mathbf{0}$



Theorem If $G(f)$ is **strong** and f is **monotonous** then $ASG(f)$ has a direct path from any state x to a fixed point

We prove the theorem by induction on the number of ones in x .
 If $x = \mathbf{0}$ the theorem is true since $f(\mathbf{0}) = \mathbf{0}$. Suppose that $x > \mathbf{0}$



Further results & perspectives

Theorem

Suppose that $G(f)$ has no negative cycles.

The set of fixed points reachable from x has a *unique* maximal element x^+ and a *unique* minimal element x^- , which are reachable in at most $2n - 4$ transitions (thigh bound).

Theorem

Suppose that $G(f)$ has no negative cycles.

The set of fixed points reachable from x has a *unique* maximal element x^+ and a *unique* minimal element x^- , which are reachable in at most $2n - 4$ transitions (tight bound).

Are all the fixed points of $R(x)$ reachable in at most $2n - 4$ steps ?

Can we obtain upper/lower bounds on the number of fixed points reachable from x according to $G(f)$?

Theorem

Suppose that $G(f)$ has no negative cycles.

The set of fixed points reachable from x has a *unique* maximal element x^+ and a *unique* minimal element x^- , which are reachable in at most $2n - 4$ transitions (tight bound).

Are all the fixed points of $R(x)$ reachable in at most $2n - 4$ steps ?

Can we obtain upper/lower bounds on the number of fixed points reachable from x according to $G(f)$?

We also plan to understand the connexions with works on

- Monotone maps on complete lattices [Tarski]
- Monotone differential systems [Hirsch & Smith]

Theorem

Suppose that $G(f)$ has no negative cycles.

The set of fixed points reachable from x has a *unique* maximal element x^+ and a *unique* minimal element x^- , which are reachable in at most $2n - 4$ transitions (tight bound).

Are all the fixed points of $R(x)$ reachable in at most $2n - 4$ steps ?

Can we obtain upper/lower bounds on the number of fixed points reachable from x according to $G(f)$?

We also plan to understand the connexions with works on

- Monotone maps on complete lattices [Tarski]
- Monotone differential systems [Hirsch & Smith]

Thank you!