

## Algorithmics

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- Analysis of algorithms
- Introduction to recursive functions
- Some classical data structures
- Sorting
- Searching
- Hashing
- Graph algorithms
- Untractable problems

## Some information

10 lectures: this building  
Every monday except maybe the week starting apr.23 'till apr.29  
10h-11h00 lecture  
11h15-12h15 exercises  
Office hours: monday afternoon (please drop a mail)  
Mail: <mailto: Bruno.Martin@unice.fr>  
Web: <http://deptinfo.unice.fr/~bmartin/mathmods.html>  
Assignments: one? two? tests and one final exam.

## Programming details: Ruby desuka?

Ruby desu: <http://www.ruby-lang.org/en/>

Install (1.9.3): <http://www.ruby-lang.org/en/downloads/>

Learn:

<http://www.ruby-lang.org/en/documentation/quickstart/>

More interactive: <http://tryruby.org/>

One-page doc: <http://ruby.on-page.net/>

Your first homework: install it and learn it by yourself

## Analysis of Algorithms

Given a problem :

how do we find an **efficient algorithm** for its solution ?

Once we have found an algorithm :

how can we **compare** this algorithm **with other algorithms** that solve the same problem ?

how should we judge **the efficiency** of an algorithm ?

These questions interest both :

programmers

computer scientists

## Evaluating Algorithms

Algorithms can be evaluated by a **variety of criteria** :

input/output, disk access, energy consumption...

The most often we are interested in their growth :

**in time and in space**

when solving **larger and larger** instances of the problem

The input's size (usually  $n$ ) is one of the main parameters

## Time and Space Complexity

Associate with a problem a size (an integer  $n$ ) :

which is a measure of the quantity of input data (via an adequate coding)

size of a matrix,

size of a file,

degree of a polynomial,

number of nodes in a graph, ...

The **time** needed by the execution of an algorithm is expressed as a **function of this size** and is called the **time complexity**

The **space** needed by the execution of an algorithm is expressed as a **function of this size** and is called the **space complexity**

## Asymptotic Complexity

Our interest: **behavior of the complexity** as the **size increases** ( $n \rightarrow \infty$ ) : the **asymptotic complexity**

Determines the **size of problems** algorithmically solvable

When an algorithm processes data of size  $n$  in time  $c_1 \times n^2 + c_2$  ( $c_1, c_2$  constants) then its **time complexity** is :  $O(n^2)$  i.e. is in order of  $n^2$  i.e. is proportional to  $n^2$

A function  $g(n)$  is said to be  $O(f(n))$  if :

there exists **constants**  $c_0 > 0$  and  $n_0$  such that  $g(n) \leq c_0 \times f(n)$  for all  $n > n_0$

## Other Orders of Magnitude

A function  $g(n)$  is said to be  $\Omega(f(n))$  if :  
there exists constants  $c > 0$  and  $n_0$  such that for all  $n > n_0$

$$0 \leq c \times f(n) \leq g(n)$$

A function  $g(n)$  is said to be  $\Theta(f(n))$  if :  
there exists constants  $c_1, c_2 > 0$  and  $n_0$  such that for all  $n > n_0$

$$0 \leq c_1 \times f(n) \leq g(n) \leq c_2 \times f(n)$$

## Importance of the Constants

This notation  $O$  is meaningful for large values of  $n$   
The  $O$  notation says nothing about the time complexity when :

$n$  happens to be less than  $n_0$

and  $c_0$  is hiding a large amount of "overhead"

For small values of  $n$  :

you'd prefer an algorithm in  $O(n^2)$  time complexity

rather than one in  $O(n)$  but with a big constant  $c_0$

$10n^2$  is faster than  $500n$  for  $n < 50$  and slower if  $n > 50$

Constants often hide implementation details - initialisations -

## Performance Analysis of an Algorithm

The  $O$  notation gives an "upper bound" to the complexity.  
It guides designers in the search of the "best" algorithm

The goal of the study of complexity is : *if you provide an algorithm with an "upper bound" complexity, and if you can demonstrate that your problem has a "lower bound" complexity and that they match, then you can stop searching a better algorithm and focus on the implementation*

You often provide an "upper bound" by counting and analyzing the frequencies of the statements of the algorithm

Providing a "lower bound" complexity is very difficult. One needs to consider an abstract model - Turing machines - and determine which fundamental operations must be performed by any algorithm to solve the problem

## Complexity in the Best, Average and Worst Case

We are interested in the average case : the amount of time a program takes on a typical input data

And in the worst case : the amount of time a program takes on the worst possible input configuration

Many programs are extremely sensitive to their input data and performance might fluctuate wildly depending on the input

When studying an algorithm it is interesting to evaluate the average and the worst case



## Other Formulations

in terms of:

- number of transistors per integrated circuit
- cost per transistors
- computing performance per unit cost
- power consumption
- HD storage cost per bit
- ...

Have a look at [http://en.wikipedia.org/wiki/Moore's\\_law](http://en.wikipedia.org/wiki/Moore's_law)

## Conclusion by Examples of Time Complexities

Suppose that the time complexities are really  $1000n$ ,  $100n \log n$ ,  $10n^2$ ,  $n^3$  and  $2^n$  then:

$2^n$  would be the best for problem of size  $2 \leq n \leq 9$

$10n^2$  would be the best for problem of size  $10 \leq n \leq 58$

$100n \log n$  the best for problem of size  $59 \leq n \leq 1024$

$1000n$  the best for problem of size  $1024 < n$

## Classification of Algorithms Complexity

- 1 **constant**: all the instructions of a program are executed once or at most only a few times
- $\log n$  **logarithmic**: solve a problem by splitting it into smaller pieces
- $n$  **linear**: a small amount of processing is done on each element
- $n \log n$  **quasilinear**: solve a problem by splitting it in smaller subproblems, solving them independently and then combining the solution
- $n^2$  **quadratic**: process all pairs of data items (perhaps in a double-nested loop)
- $n^3$  **cubic**: process all triples of data items (perhaps in a triple-nested loop)
- $2^n$  **exponential**: a brute-force solution to a problem

## Recursive Functions

Recursive functions are quite common in mathematics

In CS, a recursive function is one that **calls itself**

If a recursive function calls itself in any branch : the **definition is circular** and the program **won't stop**

The function must have a **termination condition** to stop calling itself

## Should we Use Recursive Functions ?

Recursive expression of programs is often more **simple** and **natural** to write than its iterative counterpart

For example, **simple mathematic recurrence relations** can be expressed easily in simple recursive programs

The recurrence relation of the factorial function is

$$N! = N.(N - 1)! \text{ for } N \geq 1 \text{ with } 0! = 1$$

The recurrence relation of the fibonacci numbers is

$$U_N = U_{N-1} + U_{N-2} \text{ for } N > 1 \text{ with } U_0 = U_1 = 1$$

## Recursive Implementation of Factorial -1st-

```
def factorial(n)
  if n == 0
    1
  else
    n * factorial(n-1)
  end
end
```

The recursive expression of the factorial function is **efficient**  
To compute the factorial of  $n$  you need  $n + 1$  recursive calls to the *factorial* function  
It has a linear number of recursive calls.

## Recursive Implementation of Factorial -2nd-

```
class Integer
  def factoorial
    if self == 0
      1
    else
      self * (self-1).factoorial
    end
  end
end
```

Call with

```
number.factorial
```

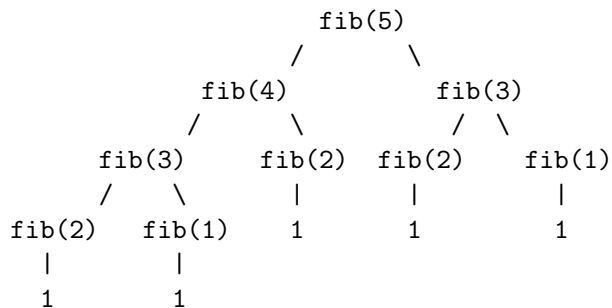
```
Default
[neon:~/Desktop] bmartin% irb
>> load "Factorial.rb"
=> true
>> factorial(7)
=> 5040
>> load "Factoorial.rb"
=> true
>> 7.factorial
NoMethodError: private method `factorial' called for 7:Fixnum
    from (irb):4
>> 7.factoorial
=> 5040
>> []
```

## Recursive Implementation of Fibonacci

```
def fib(n)
  if n <= 2
    1
  else
    fib(n-1)+fib(n-2)
  end
end
```

The recursive expression of the fibonacci numbers is simple and natural  
Do you think it is **efficient** ?

## Executing fib(5)



The recursive calls indicate that fib(3) and fib(2) should be computed **repeatedly** and you need fib(5)-1 recursive calls.  
(Don't count the leaves).

But in fact you **certainly would use** the computation of fib(3) to compute fib(4) and decrease the number of **function calls**

## Executing the Fibonacci Function fib(5)

- Stop of the rec. calls when the corresp. value for fib is 1 (leaves in the execution tree). the number of 1's = fib(N).
- Thus, the rec. algo. decomposes fib(N)= 1 + ... + 1 and does fib(N) - 1 sums.

### Proposition

*There are fib(N) - 1 recursive calls for computing fib(N).*

Thus an **exponential-time** algorithm for the fibonacci numbers since  $\text{fib}(N) = \frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^N$  when  $N \rightarrow \infty$

## Iterative Implementation of Fibonacci

```
def fibonacciter(n)
  t1,t2,t = 1,1,1
  for i in 3..n
    t =t1 + t2
    t2 =t1
    t1 =t
  end
  puts(t)
end
```

This is a linear-time program to compute the fibonacci numbers

## Divide-and-Conquer Methods

You can sometimes split your input into two halves and apply the algorithm recursively on each half

It is the **divide-and-conquer** method

Divide-And-Conquer methods normally lead to more efficient algorithms when the input is divided without overlap

Recursive fibonacci algorithm lead to excessive recomputation because of overlap

## Conclusion about Recursive Programs

Recursion should not be used blindly or it might become **not practical** like for the recursive fibonacci algorithm

Don't forget that the recursion depth is stored in the **execution stack**

You must understand clearly the behaviour of your recursive function. But recursion stay a natural and simple way to express algorithms

## Complexity

### Theorem

Let  $a \geq 1$  and  $b > 1$  two constant integers,  $f(n)$  a function and  $T(n)$  inductively defined:

$$T(n) = a.T(n/b) + f(n).$$

An asymptotic bound on  $T(n)$  is:

- ①  $T(n) = \Theta(n^{\log_b(a)})$  if  $f(n) = O(n^{\log_b(a-\epsilon)})$  for  $\epsilon > 0$  constant
- ②  $T(n) = \Theta(n^{\log_b(a)} \log(n))$  for  $f(n) = \Theta(n^{\log_b(a)})$
- ③  $T(n) = \Theta(f(n))$  for  $f(n) = \Omega(n^{\log_b(a+\epsilon)})$  and if  $a.f(n/b) \leq c.f(n)$  for a constant  $c < 1$  and  $n$  sufficiently large.

Or use Mathematica RSolve function.